

# MINUTES

## CALIFORNIA TRANSPORTATION COMMISSION

**February 17-18, 1998  
Sacramento, California**

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The meeting of the California Transportation Commission was called to order at 1:35 p.m. on February 17, 1998 in the State Capitol Building Senate Hearing Room 2040 in Sacramento, California.

Members Present: Robert Wolf, Chairman  
Ed Sylvester, Vice Chairman  
Mary Berglund  
P. Gregory Conlon  
Octavia Diener, arrived at 1:50 p.m.  
David Fleming  
Ed Jordan  
Dana Reed  
Senator Quentin Kopp, Ex-Officio, arrived at 4:05 p.m.

Members Absent: Roger Kozberg  
Assemblyman Kevin Murray, Ex-Officio

The meeting recessed at 5:15 p.m. and reconvened at 8:40 a.m. on February 18, 1998 in the same location.

Members Present: Robert Wolf, Chairman  
Ed Sylvester, Vice Chairman  
Mary Berglund  
P. Gregory Conlon, arrived at 8:45 a.m.  
Octavia Diener  
David Fleming  
Ed Jordan  
Dana Reed  
Assemblyman Kevin Murray, Ex-Officio, arrived at 10:15 a.m.

Members Absent: Roger Kozberg  
Senator Quentin Kopp, Ex-Officio

### **1. GENERAL MATTERS**

#### **1.(1) Approval of Minutes for January 14-15, 1998**

The January 14-15, 1998 Commission meeting minutes were presented for approval. Commissioner Jordan moved to approve the minutes. Commissioner Berglund seconded the motion which carried 7-0 (Commissioners Diener and Kozberg were absent).

**1.(2) Commissioners' Meetings for Compensation**

The List of Meetings for Compensation for January 1998 was presented for approval. Commissioner Reed moved to approve the List. Commissioner Fleming seconded the motion which carried 7-0 (Commissioners Diener and Kozberg were absent).

**1.(3) Consent Calendar**

Deputy Director Robert Chung reviewed the following Potential Consent Calendar items: 2.1f(1), 2.6b(2), 2.5b(3), 2.5g, and 2.5h.

Commissioner Reed moved to add the Potential Consent Calendar items to the Consent Calendar. Commissioner Berglund seconded the motion which carried 7-0 (Commissioners Diener and Kozberg were absent).

Deputy Director reviewed the following changes to the Consent Calendar

- Item 2.4b Resolutions of Necessity #C-17328, C-17329, C-17341, C-17348 were withdrawn from the agenda.
- Item 2.5a Financial Vote for Minor Projects #13 and #40 were withdrawn from the agenda; Projects #22 and #39 had changes in the program codes; the amended financial vote is for 64 projects totaling \$15,223,500.
- Item 2.5b(2) Financial Vote for SHOPP Projects #8 and #13 were withdrawn from the agenda; Projects #4, #6, #10, and #12 had various project description changes.
- Item 2.1f(3) Proposition 116 Project Approval was added to the Consent Calendar.

The Revised Consent Calendar was as follows: Items: 2.1a, 2.1e, 2.1f(1,2, and 3), 2.3c, 2.4b, 2.4c(1,2, and 3), 2.4d(1 and 2), 2.5a, 2.5b(1,2, and 3), 2.5c(1 and 2), 2.5g, 2.5h, 2.6a(1), 2.6b(1,2, and 3), 2.6c(1), and 2.6e.

Commission Fleming moved to approve the Consent Calendar as revised. Commissioner Jordan seconded the motion which carried 7-0 (Commissioners Diener and Kozberg were absent).

George Shultz, representing the City of Carson, thanked the Commission for approving items 2.1f(1) and 2.6b(2).

**1.(4) Commission Reports**

Commissioner Conlon reported on Congestion Management. Caltrans staff was asked to continue the excellent effort with Commissioner Conlon, working with him on the four specified areas of interest including: (1) enlisting the top 100 companies in Los Angeles and Bay Area to reduce peak hour commuting; (2) funding of infrastructure; (3) expanding use of helicopters for incident removal; and (4) working with all interested parties to reduce truck driving during peak commute hours.

**ACTION: J. Nicholas**

Executive Director Remen discussed the need to change the Commission meeting scheduled in San Jose from October to July due to the unavailability of hotels because of a scheduled major high tech conference. After discussing options, it was the consensus of the Commission to shift the July meeting from Sacramento to San Jose and shift the October meeting from San Jose to Sacramento. Commission staff was directed to notify all interested parties of the shift in meeting locations.

**ACTION: R. Remen****1.(5) Business, Transportation and Housing Agency Report**

John Barna, BT&H Deputy Secretary, reported on SB 45 implementation and also discussed grade separations on the Alameda Corridor East and Redondo Junction.

**1.(6) Caltrans Report**

Caltrans Director James van Loben Sels reported on improvements to the San Diegan corridor, El Nino emergency storm damage, and the need to identify funding sources for rail rolling stock.

**1.(7) Regional Agencies Report**

Craig Scott, Regional Agencies Moderator, discussed concerns about the shortfall in the PTA Account and other cash management issues.

**2. PROJECT BUSINESS MATTERS****2.1 Program Amendments/Project Approvals****2.1a. STIP Amendment for Action**

- STIP Amendment 96S-53 - Action  
Reprograms \$206,000 of an \$800,000 Burbank Airport Station reconstruction project funded from Proposition 108 Bond Program funds in the 1995-96 fiscal year to a Van Nuys Station project to construct a platform extension to be programmed in the 1997-98 fiscal year. This amendment is being requested by the Department.

This item was approved on the Consent Calendar.

**2.1b. STIP Amendment for Notice**

- STIP Amendment 96S-47 - Notice  
Downscopes three light rail vehicle (LRV) acquisition projects on the Metro Green Line, Blue Line, and Pasadena Extensions in Los Angeles by reducing the number of LRVs to be acquired from 74 to 52. The overall LRV project cost is reduced from \$257,597,885 to \$201,351,520 by reducing the State STIP funding portion from \$84,000,000 to \$76,200,000, reducing Proposition 116 funding from \$33,550,000 to \$16,398,000, and reducing local funding from \$140,047,886 to \$108,753,520. This amendment also shifts the reduced STIP funds of \$7,800,000 from the LRV acquisition project to the Metro Rail Red Line North Hollywood Station Extension project. Requested by Los Angeles County Metropolitan Transportation Authority (LACMTA).

Deputy Director Robert Chung described proposed amendment and noted that it would be presented next month for approval. Commissioners Fleming and Reed recommended that it be placed on the March Consent Calendar.

**2.1c. TCI Amendment for Notice**

- TCI Amendment 96S-54 - Notice  
Reprograms a commuter urban rail project (which requires a local match) to an intercity rail project (which does not require a local match). The project is programmed in the 1997-98 TCI Program for \$498,000, for environmental, preliminary engineering and right-of-way acquisition. This amendment also transfers the applicant status from the Solano Transportation Authority to the City of Fairfield. The Solano Transportation Authority and the City of Fairfield are requesting this amendment.

Stephen Maller, Caltrans, described the proposed TCI Amendment and recommended that it be on the March Consent Calendar. The Commission concurred with his recommendation.

**2.1d. Aeronautics Amendment for Notice**

- Aeronautics Amendment 96A-2 - Notice  
Modifies scope of a \$252,000 overlay runway project programmed in FY 1997-98 at the Pine Mountain Lake Airport in Tuolumne County to a runway, taxiways and apron rehabilitation project.

Stephen Maller, Caltrans, reviewed the proposed Aeronautics Amendment. Chairman Wolf requested that it be on the March Consent Calendar.

**2.1e.** Proposition 116 - Non Urban County Project Approvals/Amendments

- Project Approval Amendment to Delete the County of Butte's Jones Avenue Class II Bike Lane project for \$166,052 (PPNO 0874), and add a new Durham-Dayton Highway Bike Lane project in the County of Butte for \$166,052 ((PPNO 0883). In response to neighborhood concerns about the increased traffic speeds resulting from the proposed widening of Jones Avenue, the County canceled the project and requested reprogramming to the Durham Dayton Highway project. (Consistent with Concurrent Allocation Amendment under Item 2.6e.) Resolution PA-98-04, Amending Resolution PA-96-01.

This item was approved on the Consent Calendar.

**2.1f.** Proposition 116 - Project Approvals/Amendments

- 2.1f.(1)** Project Approval Amendment for \$16,000,000 to Department of Transportation (Caltrans) for Alameda Corridor - Sepulveda Boulevard Grade Separation. (Consistent with Concurrent Allocation under Item 2.6b.(2).) Resolution PA-98-05, Amending Resolution PA-94-25

This item was approved on the Consent Calendar.

- 2.1f.(2)** Project Approval for \$396,304 to City of Vallejo for Public Ferry Dock at Fisherman's Wharf in San Francisco Resolution PA-98-06

This item was approved on the Consent Calendar.

- 2.1f.(3)** Project Approval for \$303,696 to City of Vallejo for Vallejo Baylink Ferry Maintenance Facility Upgrade Resolution PA-98-07

This item was approved on the Consent Calendar.

**2.2 Environmental Matters**

## Adoption of Findings

- Routes 680 and 780 in Solano and Contra Costa Counties -  
Build a new bridge over the Carquinez Strait between the Cities of Benicia and Martinez. (Environmental Impact Report)  
Resolution E-98-8

Chief Deputy Director Pete Hathaway presented the proposal to adopt the findings for a new bridge over the Carquinez Strait. He discussed the Commission's previous comments concerning scope changes and the finding of adverse impact on the salt marsh harvest mouse. Executive Director Remen noted that the Notice of Determination should not be filed until a discretionary action such as route adoption of an allocation vote is taken by the Commission.

Commissioner Reed moved to approve Resolution E-98-8. Commissioner Diener seconded the motion which carried 8-0 (Commissioner Kozberg was absent)

**2.3 Highway Route Matters****2.3a. Route Adoptions**

No Items This Month

**2.3b. New Public Road Connections**

No Items This Month

**2.3c. Two Relinquishment Resolutions**

- 4-SCI-101-21.1, Route 101 in the City of San Jose.  
Resolution R-3349.
- 7-SJ-4-16.5/17.0 Route 4 in the City of Stockton.  
(Consistent with Concurrent Financial Vote under Item 2.5d)  
Resolution R-3350.

This item was approved on the Consent Calendar.

**2.3d. Vacation Resolutions**

No Items This Month

**2.4 Highway Right of Way Matters****2.4a. Resolutions of Necessity - Appearances****2.4a.(1) Donald E. Sharrer, et ux**  
03-Yub-70-K.P. 35.5  
C-17326

Chief Deputy Director Pete Hathaway reviewed the statutory requirements for a Resolution of Necessity Hearing. Terry Abbott, Caltrans, described the project and explained why the property was required. He also discussed the issues raised by the property owners. He indicated Caltrans had addressed all of the owners' concerns and recommended approval.

Paige and Donald Sharrer, property owners, discussed their objections to the proposed project design and property condemnation. Ms. Sharrer referenced a detailed letter sent to the Commission, and Chairman Wolf confirmed that the letter had been received and reviewed by the Commission. The property owners discussed the project's impact on a mature stand of poplar trees and oleanders, and the need to further mitigate their removal.

Mr. Abbott noted that noise mitigation applies only to freeways, and that it was a matter of compensation; however, Chairman Wolf indicated that it was a matter of mitigation. After further discussion, Commissioner Diener moved to direct Caltrans to negotiate with the property owners to explore the mitigation of the project's impact on the trees on the owners' property and bring the matter back next month for action. Commissioner Reed seconded the motion which carried 8-0 (Commissioner Kozberg was absent).

**ACTION: T. Abbott**

**2.4a.(2) S. R. & B. Investments, et al.**  
08-SBd-30-15.0  
C-17327

After Chairman Wolf determined that the property owners were not present, Commissioner Reed moved to approve the Resolution of Necessity. Commissioner Berglund seconded the motion. Chairman Wolf ordered a roll call vote. The motion carried 6-0 as follows: Ayes: Commissioners Berglund, Diener, Fleming, Reed, Sylvester and Wolf (6); Noes - (0); Absent: Commissioners Conlon, Jordan, and Kozberg.

**2.4b. 18 Resolutions of Necessity**  
C-17330 Through C-17340  
C-17342 Through C-17347 and C-17349

This item was approved on the Consent Calendar.

**2.4c. Airspace Leases Direct Negotiations (Sole Sources Leases)****2.4c.(1) 07-LA-105-0005**  
Hornet Developers, L.S.C.

This item was approved on the Consent Calendar.

**2.4c.(2)** 11-SD-5-23  
Islands Restaurants

This item was approved on the Consent Calendar.

**2.4c.(3)** 11-SD-15-11  
Presley Homes

This item was approved on the Consent Calendar.

**2.4d.** Director's Deeds

**2.4d.(1)** Item # 1 Through 8  
Excess Lands - Return to State: \$1,274,869  
Return to Others: \$0

This item was approved on the Consent Calendar.

**2.4d.(2)** Item # 9  
Route 134 in City of Glendale, Los Angeles County  
Excess Lands - Return to State: \$181,000  
Return to Others: \$0

This item was approved on the Consent Calendar.

**2.4d.(3)** Item # 10  
Route 118 in City of Simi Valley, Ventura County  
Excess Lands - Return to State: Subject to Completion of  
Appraisal and Successful Completion of Negotiations Between  
Caltrans and Purchaser Prior to Commission Meeting  
Return to Others: \$0

Stephen Maller, Caltrans, presented the Director's Deed and recommended approval.

After the Commission discussed the appraisals, Commissioner Fleming moved to approve the Director's Deeds. Commissioner Berglund seconded the motion which carried 7-0 (Commissioners Kozberg and Jordan were absent).

**2.5** Highway Financial Matters

**2.5a.** Financial Vote for Minor Projects.

- Sixty-six Minor Projects totaling \$15,223,500 plus \$1,345,500 in Local Contributions.  
Resolution FP-97-61

This item was approved on the Consent Calendar.



**2.5b.** Financial Vote for State STIP/SHOPP/Toll Bridge/TSM/TEA Projects.

- 2.5b.(1)** Three STIP Projects totaling \$1,224,000 plus \$23,943,000  
in Local Contributions.  
Resolution FP-97-62

This item was approved on the Consent Calendar.

- 2.5b.(2)** Thirteen SHOPP Projects totaling \$19,297,000 plus \$500,000  
in Local Contributions  
Resolution FP-97-63

This item was approved on the Consent Calendar.

- 2.5b.(3)** Five SHOPP Amended Projects totaling \$5,798,000.  
Resolution FP-97-64

This item was approved on the Consent Calendar.

**2.5c.** Financial Vote for Local Assistance STIP/TSM/TEA/EEM Projects

- 2.5c.(1)** Four Local TEA Projects totaling \$1,542,000 plus \$1,610,200  
in Local Contributions.  
Resolution FP-97-65

This item was approved on the Consent Calendar.

- 2.5c.(2)** Five Local EEM Projects totaling \$1,193,040 plus \$932,000  
in Contributions from Others.  
Resolution FP-97-66

This item was approved on the Consent Calendar.

**2.5d.** Financial Vote for Project with Cost Increases Greater than 120 Percent  
of Program Amount. One Project at \$3,832,000.  
Resolution FP-97-67

- 10-SJ-4,26 - SHOPP project in San Joaquin County on Route 4 and  
Route 6. Rehabilitate roadway. Current program value \$2,757,000 and  
current estimate \$3,832,000 for a 40 percent increase over programmed  
amount.

On February 17, Jim Nicholas, Caltrans, described the project and explained why the project had incurred a 40% cost increase. The Commission discussed the relinquishment process. There being no objection, the matter was tabled until the next day.

On February 18, Mr. Nicholas discussed the improvements planned before relinquishment and the procedures the Department uses in relinquishment matters.

Commissioner Reed moved to approve Resolution FP-97-67. Commissioner Diener seconded the motion. Chairman Wolf noted he voted against the motion because he was concerned about sending a message that the Commission will do anything at any cost. The motion carried 4-1-1 (Chairman Wolf

voted no; Commissioner Fleming abstained from voting; and Commissioners Conlon, Jordan, and Kozberg were absent).

The Commissioners continued to discuss the relinquishment process and subsequently directed Caltrans to:

- Submit to the Commission copies of the written notice to Districts regarding redrafting of relinquishment agreements so as to allow the Commission to determine whether a more specific policy shall be drafted in the future.

**ACTION: J. Nicholas**

- Suspend the practice of risk listing projects that either require supplemental votes and/or are in excess of 20% of the most recent programmed cost estimate.

**ACTION: J. Nicholas**

**2.5e.** Financial Vote for Supplemental Funds for Previously Approved Projects.  
Three Projects totaling \$1,487,000.  
Resolution FA-97-07

- 04-SM-35 - SHOPP project in San Mateo County on Route 35. \$31,000 to Allow Claims Payment. Original vote \$166,000 plus \$50,000 for grand total of \$247,000. 49 Percent increase over Vote.
- 06-Tul-99 - SHOPP project in Tulare County on Route 99. \$1,350,000 to Allow Award. Original vote \$8,207,000 for a grand total of \$9,557,000. 16 Percent increase over Vote.
- 11-SD-8 - TSM project in San Diego County on Route 8. \$106,000 for On-Going Contract. Original vote \$1,425,000 plus \$97,200 for grand total of \$1,628,200. 35 Percent increase over Award.

Jim Nicholas, Caltrans, reviewed the projects requiring supplemental funds. Commissioner Sylvester moved to approve the supplemental funds. Commissioner Fleming seconded the motion which carried 7-0 (Commissioners Jordan and Kozberg were absent).

**2.5f.** Emergency Allocations

**2.5f.(1)** Informational Report - Emergency G-11 Allocations  
Four Projects totaling \$1,000,000; **plus 21 Additional  
G-11 Emergency Allocations totaling \$15,425,000**

Jim Nicholas, Caltrans, presented Items 2.5f(1) and (2) and summarized the Emergency and Seismic Retrofit G-11 Allocations.

**2.5f.(2)** Informational Report - Seismic Retrofit G-11 Allocations from  
Proposition 192 Revenues.  
Two Projects totaling \$4,080,000.

The proceedings for this item are recorded under Item 2.5f(1).

**2.5g.** Financial Vote for Toll Bridge Seismic Retrofit Project Funded with Proposition 192 Revenues. Three Projects totaling \$283,125,000. Resolution FP-97-68

- 04-CC,Mrn-580 - In Contra Costa and Marin Counties on Route 580 at the Richmond-San Rafael Bridge (Main Span Foundation and Main Span Towers) for a cost of \$188,878,000.
- 04-CC,Mrn-580 - In Contra Costa and Marin Counties on Route 580 at the Richmond-San Rafael Bridge (Trestle Replacement, East and West Approaches and Main Span Superstructure) for a cost of \$91,247,000.
- 04-SF-80 - In San Francisco County on Route 80 at the San Francisco-Oakland Bay Bridge for a cost of \$3,000,000.

This item was approved on the Consent Calendar.

**2.5h.** Three-Month Extension of One-Year Allocation Time Limit for Unawarded Resolution FX-97-01

- 03-But-99 - In Butte County on Route 99. Install signal and lighting. Original vote date was April 2, 1997.

This item was approved on the Consent Calendar.

**2.6** Mass Transportation Financial Matters

**2.6a.** Financial Vote for TCI Program Projects

**2.6a.(1)** Two Local TCI Program Projects totaling \$696,000  
Resolution MFP-97-48

This item was approved on the Consent Calendar.

**2.6a.(2)** Two Local TCI Program Projects for North Coast Railroad Authority (NCRA) totaling \$463,550  
Resolution MFP-97-46

Chairman Wolf noted that this item should be deferred due to Commission action on Item 5.1c.

Commissioner Jordan moved to table this item. Commissioner Berglund seconded the motion which carried 8-0 (Commissioner Kozberg was absent).

**2.6b.** Financial Vote for Proposition 116 Projects**2.6b.(1)** Four Local Proposition 116 Rail Projects totaling \$6,573,232

- \$2,500,000 to Peninsula Corridor Joint Powers Board (PCJPB) for Caltrain Rolling Stock Purchase  
Resolution BFP-97-19
- \$1,175,212 to Peninsula Corridor Joint Powers Board (PCJPB) for Caltrain Capital Improvements, System Upgrades  
Resolution BFP-97-20
- \$1,773,020 to Peninsula Corridor Joint Powers Board (PCJPB) for Caltrain Track Rehabilitation  
Resolution BFP-97-21
- \$1,125,000 to Peninsula Corridor Joint Powers Board (PCJPB) for Caltrain Stations Rehabilitation  
Resolution BFP-97-22

This item was approved on the Consent Calendar.

**2.6b.(2)** One State Proposition 116 Rail Project totaling \$14,567,000

- \$14,567,000 to Department of Transportation (Caltrans) for Alameda Corridor, Sepulveda Boulevard Grade Separation. (Consistent with concurrent Project Approval (PA) Amendment under Item 2.1f(1).)  
Resolution BFP-97-23

This item was approved on the Consent Calendar.

**2.6b.(3)** One Local Proposition 116 Non-Urban County Project  
Totaling \$317,512

- \$317,512 to City of Morro Bay for Main Street/Highway 41 Bikeway.  
Resolution BFP-97-24

This item was approved on the Consent Calendar.

**2.6c.** Financial Vote for Two STIP Rail/Transit Projects totaling \$24,340,000**2.6c.(1)** \$4,340,000 to Southern California Regional Rail Authority (SCRRA) on behalf of Alameda Corridor Transportation Authority for Redondo Junction Grade Separation  
Resolution MFP-97-49

This item was approved on the Consent Calendar.

- 2.6c.(2)** \$20,000,000 to Los Angeles County Metropolitan Transportation Authority (LACMTA) for Metro Rail Red Line Segment 3, North Hollywood Extension.  
Resolution MFP-97-50

Commissioner Reed reported that he was abstaining from this item and recused himself from discussion. Deputy Director Robert Chung presented the request for funds and recommended approval.

Commissioner Berglund moved to approve Resolution MFP-97-50. Commissioner Diener seconded the motion which carried 5-0-1 (Commissioner Reed abstained and Commissioners Conlon, Jordan, and Kozberg were absent.)

**2.6d.** Financial Vote for Multiple Program Funded Projects

No Items This Month

**2.6e.** Allocation Amendments for Proposition 108/116 Projects

- County of Butte - Rescinds entire project allocation of \$166,052 for the deleted Jones Avenue Class II Bike Lane project and reallocates the \$166,052 to the new Durham-Dayton Highway Bike Lane project. (Consistent with Concurrent Project Approval (PA) Amendment under Item 2.1e.)  
Resolution BFA-97-08, Amending Resolution BFA-95-09.
- City of Willows - Clarifies scope of a pedestrian facilities project to include a ramp installation and sidewalk rehabilitation element. No change in allocation amount.  
Resolution BFA-97-09, Amending Resolutions PA-93-32 and BFP-93-94.
- Department of Transportation (Caltrans) - Specifies that \$2,547,339 of the \$63,672,000 in Proposition 108 funds for the design and manufacture of Commuter rail cars is to reimburse the Peninsula Corridor Joint Powers Board (PCJPB) for the sales and use taxes for the commuter car acquisition. Any sales and use taxes above the \$2,547,339 shall be the responsibility of the PCJPB. No change in allocation amount.  
Resolution BFA-97-10, Amending Resolution BFA-93-03.

This item was approved on the Consent Calendar.

**2.6f.** SB 2800 Advance Local Match Requests

No Items This Month

**2.7** Aeronautics Financial Matters

No Items This Month

**2.8** Waiver of Commission Policy Guidelines

No Items This Month

**2.9** Technical Changes to Previously Approved Resolutions

No Items This Month

**3. PROGRAM STATUS**

**3.1** Caltrans Monthly FY 1997-98 Program Finance Report

Joan Borucki, Caltrans, discussed the new format for the Monthly Program Finance Report and then reported on cash management and expenditures. She noted that the State Highway Account balance forecast will be modified after adoption of the 1998 STIP to show draws for projects programmed in early years.

The Commission requested Caltrans to:

- Continue to include the 13-month historic chart in the monthly Finance Report and also incorporate information related to encumbrances as well as cash.

**ACTION: J. Borucki**

- Include in Monthly Finance Report all appropriate seismic information broken down by Phase I, Phase II, and Toll Bridge.

**ACTION: J. Borucki**

**3.2** Monthly Report on Status of Projects Previously Voted but not Awarded

Jim Nicholas, Caltrans, reviewed the status of projects previously voted but not awarded. The Commissioners briefly discussed the bids received for the Benicia-Martinez Bridge project.

**3.3** Quarterly Reports:

**3.3a.** Program Delivery

Jim Nicholas, Caltrans, reported that the Department has been working on a new reporting format for the Quarterly Reports.

Jack Boda, Caltrans, presented the Quarterly Program Delivery report. The Commission requested that the year-to-date and planned data for Toll Seismic and Seismic be incorporated into the chart.

**ACTION: J. Boda**

**3.3b. Seismic Safety Retrofit Program**

Jack Boda, Caltrans, reviewed the quarterly report on the status of the seismic safety retrofit program. The Commission requested that he include seismic retrofit data for Phase I, Phase II, and Toll Bridges with both project counts and costs in the Quarterly Report.

**ACTION: J. Boda**

**3.3c. Right of Way Expenditures Against Annual Plan**

Jack Boda, Caltrans, discussed the status of right-of-way expenditures.

**3.3d. Environmental Document Progress**

Jack Boda, Caltrans, discussed the status of environmental documents. The Commission requested that the report on the status of environmental work for programmed projects extend further out into the future.

**ACTION: J. Boda**

**3.3e. Status of Pending Claims Settlements**

Jim Nicholas, Caltrans, reported on the status of pending claims settlements and reported that they are expected to go down in the future.

**3.3f. SHOPP Program**

Jim Nicholas, Caltrans, presented the quarterly SHOPP program report and discussed the lane miles of pavement done in the SHOPP.

**3.3g. STIP Project Deletions**

Jim Nicholas, Caltrans, reported there were no STIP project deletions at this time.

**3.3h. Timely Use of Funds in all Grant Programs**

Joan Borucki, Caltrans, presented the quarterly report on the status of the timely use of funds in all grant programs and reviewed the amounts still to be allocated.

**3.3i. Cash Forecast State Highway Account**

Joan Borucki, Caltrans, presented the cash forecast for the State Highway Account and noted the beginning cash balance was \$1.6 billion and expected to be \$2 billion by 2000.

**3.3j. Intercity Rail Farebox Recovery by Corridor**

Warren Weber, Caltrans, presented the quarterly report on intercity rail farebox recovery and reported that readership, revenue, and expenses had increased in all three corridors.

**4. POLICY MATTERS****4.1 State Legislative Matters**

Deputy Director Chuck Oldham reviewed AB 1686 (Murray) - Retrofit Soundwall Funding. After discussion, it was the consensus of the Commission to review this bill at next month's meeting. Staff was directed to (1) prepare a letter regarding AB 1686 and discuss issues cited with the bill's author, and (2) prepare a comparative analysis with other expected bills addressing the Retrofit Soundwall Program for later presentation to the Commission.

**ACTION: C. Oldham**

Deputy Director Chuck Oldham presented an analysis of ACA 30 (Murray) - Loans of Transportation Funds. After discussion, it was the consensus of the Commission to adopt a "support in concept" position, and staff was directed to prepare a letter expressing the Commission's position for the bill's author.

**ACTION: C. Oldham**

Deputy Director Chuck Oldham presented an overview of Proposition 224, contracting out Ballot Initiative of the Professional Engineers in California Government.

Richard Markuson, representing the Consulting Engineers and Land Surveyors of California, discussed their opposition to Proposition 224 and asked the Commission to oppose the proposition.

During the Proposition 224 discussion, staff was directed to:

- Mail out to all Commissioners the Secretary of State's packet on Proposition 224.

**ACTION: C. Oldham**

- Summarize AB 376 (Baca) and SB 479 (Alpert) pertaining to contracting out for presentation at the March Commission meeting.

**ACTION: C. Oldham**



## 4.2 1998 STIP Guideline Issues

### 4.2a. Increases in State Funding Participation in STIP Projects

Deputy Director Brewer reviewed the provisions of Section 19 of the 1998 STIP Guidelines. Commissioner Fleming read the change of the language into the record and stressed that regional agencies must be aware that funds are for capital expenses only and not to be used for any shortfalls in operations.

David Yale, Regional Agencies Moderator, discussed wording changes replacing "Chairman of the Board" with "Board."

Commissioner Jordan moved to approve Section 19 with the wording changes. Commissioner Fleming seconded the motion which carried 7-0 (Commissioners Conlon and Kozberg were absent). Staff was directed to incorporate the modified language for Section 19 and distribute the revised 1998 STIP Guidelines to all Regional Agencies and Caltrans.

#### **ACTION: D. Brewer**

### 4.2b. Full Funding Demonstration for STIP Projects

Commissioner Fleming proposed that the Commission develop a Memorandum of Understanding with Los Angeles County Metropolitan Transportation Authority (LACMTA) to place in a STIP reserve funding program for the Pasadena Blue Line and Mid-City and East Side Red Line Projects, to be made available for allocation after LACMTA had submitted a rail program recovery plan to the Commission by December 2, 1998, and to make available STIP funding programs for the Red Line North Hollywood Extension pending a progress report by June 2, 1998. The Commission would take this action so that STIP funding can be programmed to fully funded projects with realistic expenditure schedules.

Assemblyman Cardenas asked the Commission what this meant for allocation of funds to complete the Red Line North Hollywood Extension. Commissioner Fleming said that, pending a progress report by June 2, 1998, the Commission could allocate this funding so that ongoing work could continue uninterrupted.

Assemblyman Kevin Murray, Ex Officio Commissioner, spoke in support of Commissioner Fleming's proposal.

Julian Burke, interim CEO of LACMTA, told the Commission that this proposal would allow him time to get LACMTA's plans in order, and noted willingness to work on a Memorandum of Understanding. Chairman Wolf said the Commission would, if it approved this proposal, both leave open its programming prerogatives and take responsibility to ensure that STIP projects were fully funded and reasonably scheduled. Assemblyman Murray asked if the Commission would consider substituting other projects if some of the rail projects could not be brought forward on a timely schedule. Chairman Wolf answered "yes," as of December 2, 1998.

Mr. Burke noted that the Commission guidelines do not clearly define reserves that might be considered for prior 1996 STIP projects and whether those reserves could be advanced to other regions before the 2002 STIP. Chairman Wolf answered that the Commission intended to leave open all options to ensure a realistic program not to take over LACMTA's responsibilities, and was essentially offering LACMTA extended time to make responsible STIP decisions. Commissioner Fleming moved, with a second from Commissioner Diener that the Commission seek a Memorandum of Understanding with LACMTA, for project reserves through December 2, 1998 and a progress report for funding allocations by June 2, 1998, with no restrictions on non-rail project allocations in Los Angeles County. The motion passed 8-0 (Commissioner Kozberg was absent).

**4.2c.** Procedural Roles of Southern California Association of Governments (SCAG), County Transportation Commissions within SCAG, and the CTC in Considering County and Regional Transportation Improvement Programs (TIPs)

Executive Director Remen briefed the Commission on the issue of amending the provisions of the 1998 STIP Guidelines which apply to the SCAG area stating that the Commission will incorporate or reject each county's RTIP separately. He summarized SCAG's concerns about air quality conformity.

Ronald Bates, Chairman of SCAG, discussed the importance of conformity so that Southern California can obtain as much federal funding as possible. He also stressed the importance of a Regional Plan for Southern California and the need to take a regional viewpoint on projects rather than taking a county-by-county approach. The Commission took no action on this item.

**4.3** Report on Regional Suggestions for the 1998 Interregional Transportation Improvement Program (ITIP)

Deputy Director David Brewer presented the results of a survey of the regional agencies to identify the interregional projects to be proposed for the 1998 STIP Interregional Program.

Craig Scott, Regional Moderator, discussed the comments from the Regional Agencies.

The Commissioners discussed the survey results but took no action on this item.

**4.4** Presentation of Ten-Year State Highway System Rehabilitation Plan

Items 4.4 and 4.5 were presented jointly.

Jim Nicholas, Caltrans, presented and summarized the Ten-Year State Highway Rehabilitation Plan and the Proposed 1998 SHOPP.

The Commissioners discussed the plans and asked Caltrans to:

- Present the cost breakdown assigned to Transportation Management Centers and Systems within the operational improvements line item of the Ten-Year SHOPP Plan.
- Report on the status of the Department's efforts to implement its September 1997 recommendation, which was affirmed by the Commission, to incorporate long life pavement standards in the 1998 STIP.
- Provide to Senator Quentin Kopp a cost profile of the Central Viaduct project.
- Report at the March Commission meeting on all SHOPP projects: 1) that are programmed but not yet allocated from FY 1997-98 or prior; and 2) that are voted but not yet awarded, aggregating the projects according to the number of months beyond vote (e.g., one month past vote, two months past vote, etc.)
- Review both the proposed Ten-Year SHOPP Plan and the Four-Year SHOPP Program with Commissioner Jordan to permit his comments on both documents at the March Commission meeting; also review the congestion management aspects of both documents with Commissioner Conlon.

**4.5** Presentation of Proposed 1998 State Highway Operation and Protection Program (SHOPP).

Refer to item 4.4 for proceedings related to this item.

**4.6** Annual Report on Seismic Safety Retrofit Program

This item was pulled by Caltrans prior to the meeting.

**4.7** Defeasance of Toll Bridge Revenue Refunding Bonds for the State-Owned Toll Bridges in the San Francisco Bay Area, Pursuant to SB 226 (1997). Resolution G-98-03

Joan Borucki, Caltrans, presented and described the request to authorize the defeasance of toll bridge revenue bonds in the Bay area as requested by SB 226.

Commissioner Fleming moved to approve the defeasance. Commissioner Diener seconded the motion which carried 8-0 (Commissioner Kozberg was absent).

**4.8** Annual Review of the Rate for Local Government Matching of California Aid to Airports Program (CAAP) Grants

Mike Farmer, Caltrans, presented the Department's recommendation for a 10% local match rate for CAAP aeronautics grants. He reported that it would be reviewed by TACA and TACA's recommendation would be presented at the March meeting. Commissioner Reed requested that the matter be placed on the consent calendar if TACA supports the 10% rate.

**4.9** Establishment of Schedule for Adopting the Aeronautics Program and Capital Improvement Program (CIP) element of the California Aviation System Plan (CASP) Resolution G-98-04

Mike Farmer, Caltrans, presented the proposed schedule for adoption of the Aeronautics Program and Capital Improvement Program of the CASP and recommended approval.

Commissioner Berglund moved to approve the schedule. Commissioner Fleming seconded the motion which carried 8-0 (Commissioner Kozberg was absent).

**4.10** List of Locally Funded Projects

Bob Buckley, Caltrans, summarized the best of locally funded projects. The Commission accepted the report as submitted.

**5. MODAL ISSUES****5.1 Rail****5.1a. Status of Los Angeles County Metropolitan Transportation Authority (LACMTA) Recovery Plan**

Julian Burke, LACMTA, discussed the six year Capital Improvement Program challenge, suspension of the Metro Rail Red Line Mid-City and East Side Extensions Program, and the status of the MTA Restructuring Recovery Plan.

David Yale, LACMTA, discussed the status of the 1998 Regional TIP and Brian Boudreau, LACMTA, discussed the upcoming CTC actions requested for the Los Angeles Rail Car and the Metro Rail Red Line North Hollywood extension.

**5.1b. Status on California Car**

Andrew Poat, Caltrans, summarized the California Car Status Report.

The Commissioners discussed ownership of the design for the California car and asked Caltrans to report back to the Commission on any estimated value associated with retention of design ownership.

**ACTION: A. Poat**

**5.1c. North Coast Railroad Authority (NCRA) - Identification of Means for Fully Funding the Q Fund Sinking Fund**

Dan Hauser, NCRA Executive Director, presented NCRA's proposal for funding the Q Fund Sinking Fund.

Allan Hemphill, NCRA First Vice-Chair, reviewed the elements of repayment for the Q Fund loan.

The Commissioners discussed various concerns including NCRA's pre-award audit response, recent storm damage, reliability of Railway, Inc. as a legitimate operator, and lack of local support. They requested NCRA to develop commitments from appropriate local jurisdictions to provide back-up means of fully funding the Q Fund Sinking Fund, assuming its "full term" pay-off of Q Funds in 2013 and the possibility of any early pay-off triggered by any construction within the acquired corridor and report back at the March 31-April 1 Commission meeting. The Commission also asked for (1) a report on the prospective operator, Railway, Inc., and the impact of possible passenger commute service in the southern end of the corridor on freight operations and (2) a report on the estimated environmental costs associated with abandoning the corridor.

**ACTION: D Hauser/A. Hemphill**

The following individuals presented testimony to the Commission:

- Mark Reasonfeld, Marin County
- Mark Nichols, Marin County
- Susan Wilford, Sonoma County Transit Authority
- Don Peterson, Humboldt, Mendocino, and Sonoma
- Ruth Rockafeller, NCRA Board Member

After discussion, Commissioner Reed moved to postpone this matter until the next Commission meeting. Commissioner Fleming seconded the motion which carried 8-0 (Commissioner Kozberg was absent).

**5.2 Highways**

- Presentation on Traffic Operation Centers (TOCs)

Hamed Benouar, Caltrans, presented a slide show and discussed traffic congestion, Proactive Transportation Management, Traffic Managers, and the Transportation Management System.

Mike Howard, CHP, discussed the partnership between CHP and Caltrans and the CHP's role in the Traffic Operation Centers.

The Commissioners discussed the cost benefits of the Traffic Operations Center and asked that Business, Transportation and Housing work with Caltrans and the California Highway Patrol in clarifying the capital and operating costs of these facilities and systems and a more tangible estimate of their benefits and to follow-up with a presentation at the May Commission meeting.

**ACTION: J. Barna**

**6. OTHER MATTERS**

No items this month

There being no further business, the meeting adjourned at 12:20 p.m.

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Robert I. Remen, Executive Director